

Attorney Docket No. SIC-03-046

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KAZUHIRO TAKEDA et al.

Application No.: 10/708,264

Filed: February 20, 2004

For: BICYCLE SHIFT CONTROL  
APPARATUS WITH PREFERENTIAL  
SHIFTING

Examiner: Vicky A. Johnson

Art Unit: 3682

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

The reference cited on Form PTO/SB/08a is being called to the attention of the Examiner. The reference was cited in a European Search Report for EP 04004212.9, the European patent application which corresponds to this application. A copy of the European Search Report is also enclosed so that the examiner may refer to it when deciding the relevance of the reference.

US 5,865,454, listed in the search report, was previously cited to the U.S. Patent Office on February 20, 2004. US 5,213,548, also listed in the search report, was previously cited by the examiner in the office action mailed March 20, 2007. Therefore, these references are not being cited in this information disclosure statement.

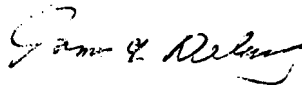
The undersigned certifies that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

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Application No.: 10/708,264  
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PATENT

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Respectfully submitted,



James A. Deland  
Reg. No. 31,242

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10708264
Filing Date	2004-02-20
First Named Inventor	KAZUHIRO TAKEDA et al.
Art Unit	3682
Examiner Name	Vicky A. Johnson
Attorney Docket Number	SIC-03-046

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/James A. Deland/	Date (YYYY-MM-DD)	2007-06-27
Name/Print	James A. Deland	Registration Number	31242

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10708264
Filing Date	2004-02-20
First Named Inventor	KAZUHIRO TAKEDA et al.
Art Unit	3682
Examiner Name	Vicky A. Johnson
Attorney Docket Number	SIC-03-046

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1	European search report for EP 04004212.9, the European patent application that corresponds to this application, dated May 9, 2007.	<input type="checkbox"/>
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